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12-31-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 1650-003

First Inventor Martyn RYDER

Title CONTAINMENT ASSEMBLY

Express Mail Label No. EL889189488US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 26]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Oath or Declaration [Total Pages]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patent  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_ /  
Prior application information: Examiner \_\_\_\_ Group / Art Unit

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


## 17. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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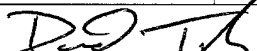
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City	Phoenix	State	AZ	Zip Code	85018
Country	U.S.A.	Telephone	602 956 6161	Fax	602 956 6262

Name (Print/Type)	David S. Taylor	Registration No. (Attorney/Agent)	39,045
Signature		Date	December 21, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2002</h2> <p style="margin: 5px 0;"><i>Patent fees are subject to annual revision</i></p>		<i>Complete if Known</i>		
		Application Number	Not Yet Assigned	
		Filing Date	December 21, 2001 (herewith)	
		First Named Inventor	Martyn RYDER	
		Examiner Name	Not Yet Assigned	
		Group / Art Unit	Not Yet Assigned	
TOTAL AMOUNT OF PAYMENT (\$)		780	Attorney Docket No	1650-003

<b>METHOD OF PAYMENT (check one)</b>				<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																																			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to  Deposit Account Number: 501324  Deposit Account Name: Sullivan Law Group  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27				<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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<b>SUBMITTED BY</b>				<i>Complete (if applicable)</i>	
Name (Print/Type)	David S. Taylor	Registration No. Attorney/Agent)	39,045	Telephone	202 661 6114
Signature				Date	December 21, 2001

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Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

12/21/01



JC961 U.S. PTO

12-31-01

# Sullivan Law Group

A Professional Corporation

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Phoenix, Arizona 85018-2140  
Telephone (602) 956-6161  
Facsimile (602) 956-6262

Patents, Trademarks, Copyrights,  
Related Intellectual Property Law  
Federal Government Procurement Law

1200 G Street, N.W., Suite 800  
Washington, D.C. 20005  
Telephone (202) 661-6114  
Facsimile (202) 661-6117

JC978 U.S. PTO

10/029537



12/21/01

December 21, 2001

## *Via Express Mail*

BOX PATENT APPLICATION  
Assistant Commissioner  
for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

**Re: Filing New Utility Patent Application Entitled  
"CONTAINMENT ASSEMBLY"  
Our Ref.: 1650-003**

Dear Sir:

We hereby submit the following documents in connection with the filing of this new utility patent application entitled, "CONTAINMENT ASSEMBLY," inventor Martyn RYDER, an individual, residing at Oakwood House, Bowling Alley, Brighthouse, HD6 3ET, United Kingdom (nationality: GB):

1. Application for Patent Cover Sheet (1 sheet);
2. Specification and Claims (25 pages) including 1 independent claim and 18 claims total;
3. Abstract of the Disclosure (1 page);
4. Informal drawing figures (5 figures on 5 sheets);
5. Declaration (2 page);
6. Power of Attorney (1 page);
7. Formal drawings (5 figures on 5 sheets);

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Assistant Commissioner  
for Patents  
December 21, 2001  
Page 2

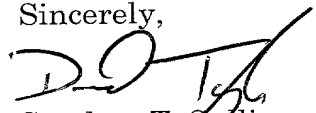
8. Assignment, with Assignment Recordation Cover Sheet (3 pages);
9. Fee transmittal (1 page, 2 copies);
10. Check for \$780, covering the \$740 filing fee and \$40 recordation fee;  
and
11. Postcard for receipt acknowledgment.

This application claims priority of UK patent application no. 0101299.6 filed on 28 January 2001, the complete disclosure of which is incorporated into the attached application.

Please accord this application a serial number and the filing date of **December 21, 2001.**

Please give us a call if you have any questions or wish to discuss this matter.

Sincerely,

  
Stephen T. Sullivan  
David S. Taylor

STS/DST/lam  
Enclosures

Express Mail Label No. EL889189488US  
Date of Deposit December 21, 2001  
I hereby certify that the attached application and other attachments are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed, BOX PATENT APPLICATION, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.

